

Docket No. 241085US0RDCONT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takeshi OKINO et al.

SERIAL NO: 10/635,571

GAU:

FILED: AUGUST 7, 2003

EXAMINER:

FOR: RESIN USEFUL FOR RESIST, RESIST COMPOSITION AND PATTERN FORMING PROCESS USING THE SAME

**INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VA 22313-1450

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references cited on the attached Japanese Office Action and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was cited first in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☐ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT P.C.

  
Norman F. Oblon

Registration No. 24,618

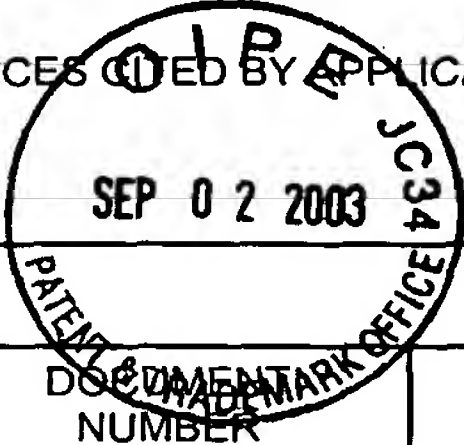
Harris A. Pitlick

Registration No. 38,779



**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/98)

|  |      |  |          |                                    |                       |                          |                               |
|--|------|--|----------|------------------------------------|-----------------------|--------------------------|-------------------------------|
| Form PTO 1449<br>(Modified)  |      | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | ATTY DOCKET NO.<br>241085US0RDCONT |                       | SERIAL NO.<br>10/635,571 |                               |
| LIST OF REFERENCES CITED BY APPLICANT<br><br><div style="text-align: center;">  </div>  |      |  |          | APPLICANT<br>Takeshi OKINO et al.  |                       |                          |                               |
|  |      |  |          | FILING DATE<br>AUGUST 7, 2003      |                       | GROUP                    |                               |
| <b>U.S. PATENT DOCUMENTS</b>   |      |  |          |                                    |                       |                          |                               |
| EXAMINER<br>INITIAL  | AA   | DOCUMENT<br>NUMBER   | DATE     | NAME                               | CLASS                 | SUB<br>CLASS             | FILING DATE<br>IF APPROPRIATE |
|  | AA   |  |          |                                    |                       |                          |                               |
|  | AB   |  |          |                                    |                       |                          |                               |
|  | AC   |  |          |                                    |                       |                          |                               |
|  | AD   |  |          |                                    |                       |                          |                               |
|  | AE   |  |          |                                    |                       |                          |                               |
|  | AF   |  |          |                                    |                       |                          |                               |
|  | AG   |  |          |                                    |                       |                          |                               |
|  | AH   |  |          |                                    |                       |                          |                               |
|  | AI   |  |          |                                    |                       |                          |                               |
|  | AJ   |  |          |                                    |                       |                          |                               |
|  | AK   |  |          |                                    |                       |                          |                               |
|  | AL   |  |          |                                    |                       |                          |                               |
|  | AM   |  |          |                                    |                       |                          |                               |
|  | AN   |  |          |                                    |                       |                          |                               |
| <b>FOREIGN PATENT DOCUMENTS</b>  |      |  |          |                                    |                       |                          |                               |
|  |      | DOCUMENT<br>NUMBER   | DATE     | COUNTRY                            | TRANSLATION<br>YES NO |                          |                               |
|  | / AO | 08-259626  | 10-08-96 | JAPAN w/Attached English Abstract  |                       |                          | X                             |
|  | / AP | 10-83076   | 03-31-98 | JAPAN w/Attached English Abstract  |                       |                          | X                             |
|  | / AQ | 9-73173  | 03-18-97 | JAPAN w/Attached English Abstract  |                       |                          | X                             |
|  | / AR | 7-120927   | 05-12-95 | JAPAN w/Attached English Abstract  |                       |                          | X                             |
|  | / AS | 5-127370   | 05-25-93 | JAPAN w/Attached English Abstract  |                       |                          | X                             |
|  | / AT | 10-239847  | 09-11-98 | JAPAN w/Attached English Abstract  |                       |                          | X                             |
|  | / AU | 10-307400  | 11-17-98 | JAPAN w/Attached English Abstract  |                       |                          | X                             |
|  | AV   |  |          |                                    |                       |                          |                               |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |      |  |          |                                    |                       |                          |                               |
|  | AW   |  |          |                                    |                       |                          |                               |
|  | AX   |  |          |                                    |                       |                          |                               |
|  | AY   |  |          |                                    |                       |                          |                               |
|  | AZ   |  |          |                                    |                       |                          |                               |
| Examiner   |      |  |          |                                    | Date Considered       |                          |                               |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |      |  |          |                                    |                       |                          |                               |